

10-11-00

PTO/SB/05 (4/98)

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Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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10/10/00

UTILITY PATENT APPLICATION TRANSMITTAL

10/10/00

Only for new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No. M61.12-0316

First Inventor or Application Identifier Xuedong Huang et al.

Title METHOD AND APPARATUS USING SPECTRAL ADDITION FOR SPEAKER RECOGNITION

Express Mail Label No. EL636048810US

PRO

PAP

15 U.S. 685381

15

19

10/10/00

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.	<input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17) (Submit an original and a duplicate for fee processing)	49
2.	<input checked="" type="checkbox"/> Specification [Total Sheets (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	[Total Sheets 11]
3.	<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113)	[Total Sheets 11]
4.	Oath or Declaration [Total Sheets 3]	[Total Sheets 3]
	<input checked="" type="checkbox"/> Newly executed (original or copy)	
	<input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)	
i.	<input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).	

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

10/10/00

Address To:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5.	<input type="checkbox"/> Microfiche Computer Program (Appendix)
6.	<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (Identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7.	<input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
8.	<input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement. (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney
9.	<input type="checkbox"/> English Translation Document
10.	<input type="checkbox"/> Information Disclosure Statement (IDS/PTO – PTO) <input type="checkbox"/> Copies of IDS
11.	<input type="checkbox"/> Preliminary Amendment
12.	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
13.	<input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application: Statement(s) Status still proper and desired (PTO/SB-09-12)
14.	<input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
15.	<input checked="" type="checkbox"/> Other: Check in the amount of \$952.00 Check in the amount of \$40.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation –in part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

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Name (Print/type)	Theodore M. Magee	Registration No. (Attorney/Agent)	39,758
Signature	<i>Theodore M. Magee</i>		Date 10/10/00

FEE TRANSMITTAL

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1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th rowspan="2">Code</th> <th rowspan="2">Fee (\$)</th> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td></td> <td>65</td> <td></td> <td>Surcharge - Late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td></td> <td>25</td> <td></td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td></td> <td>130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td></td> <td>2,520</td> <td></td> <td>For Filing a Request for Reexamination</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td></td> <td>55</td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td></td> <td>195</td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td></td> <td>445</td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td></td> <td>695</td> <td></td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td></td> <td>945</td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td></td> <td>155</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td></td> <td>135</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td></td> <td>55</td> <td></td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td></td> <td>55</td> <td></td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>Total</td> <td>29</td> <td>20</td> <td>9</td> <td>18</td> <td>162</td> <td></td> <td></td> </tr> <tr> <td>Indep.</td> <td>4</td> <td>3</td> <td>1</td> <td>80</td> <td>80</td> <td></td> <td></td> </tr> <tr> <td colspan="6">Multiple Dependent Claims</td> <td colspan="2"></td> </tr> <tr> <td colspan="6">** Insert 3 and 20, or number previously paid if greater; 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Signature Theodore M. Magee
 (Theodore M. Magee)

Reg. No. 39,758

Date _____

Deposit Account No. 23-1123